



ÖSTERREICHISCHE  
AGENTUR FÜR  
WISSENSCHAFTLICHE  
INTEGRITÄT

A large, abstract graphic on the left side of the page. It features a green background with a pattern of small, dark green dots that form a series of curved, overlapping lines, resembling a stylized fingerprint or a complex data visualization. The lines curve from the bottom left towards the top right.

# Activity Report for 2023

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# 1. Association Affairs

## Meetings:

<b>General Assembly:</b>	December 5, 2023 (Vienna, FWF)
<b>Board Meetings:</b>	January 18, 2023 (online) December 1, 2023 (online)
<b>Meetings of the Commission:</b>	March 24, 2023 (online) July 3, 2023 (Vienna) September 18, 2023 (online) December 18, 2023 (Vienna)

## Board:

The entire Board was re-elected for two more years at the meeting of the General Assembly. **Elisabeth Haslinger-Baumann** (FHK, see image) was elected to the board to succeed Sabine Seidler.



## Members:

In 2023 the OeAWI increased its membership. The five new members are

**Bertha von Suttner Private University St. Pölten**

since March 1, 2023



**ASCI!**

**Supply Chain Intelligence Institute Austria (ASCI)**

since April 1, 2023

**CEU GmbH - Central European University Private University**

since April 1, 2023



**Privatuniversität Schloss Seeburg**

since May 1, 2023

**Fachhochschule (University of Applied Sciences) St. Pölten**

since May 1, 2023



A cordial welcome also to the **BMBWF** (Austrian Federal Ministry of Education, Science and Research) as a new associate member (since January 1, 2023).



At the end of 2023, **61** institutions were full members of the OeAWI. **Six** institutions are associate members.

### **Administrative Office — Staff:**

In the OeAWI Office a small change took place.

**Samuel Muehlechner**—in charge of IT for several years and contributor to the work of the Commission—has moved on professionally. **Jan Haschka** succeeded him as system administrator and technical assistant (since June 1, 2023).

## **2. OeAWI Renewal Process**

An important result of the 2022 discussion was the realization that regular long-term exchange with all member institutions would be necessary in order to jointly tackle challenges in the area of research integrity and to articulate positive goals for the protection of good scientific practice. This requires more opportunities for substantive exchange. In 2023, the first steps were taken to create these opportunities by holding the first OeAWI annual conference and introducing the online event series *Research Integrity: Aktuell* (RIA) (see details in chapter 4.c. OeAWI events).

As part of the OeAWI's renewal process, in 2022 the General Assembly also set up a working group on the OeAWI's finances and one on the statutes. Both began their work in 2023.

### **Working Group: Finance**

The task of this working group was to develop a sustainable financing model, taking into account the tasks and objectives of the association as set out in its statutes and the membership structure that has developed over the years.

In addition to the OeAWI Board and Office, public universities, non-university research institutions and funding bodies were represented in the working group.

The working group set itself the goal of developing a model that is simple, efficient and comprehensible; increases the fairness of distribution and secures the services offered by the association in the long term. The model was presented and adopted at the 2023 Annual General Assembly.

**Working Group: Statutes**

With a total of 18 members from the Commission, the Board, the Office, public universities and non-university research institutions, the working group for the revision of the statutes represented a broad spectrum of perspectives. Intensive collaboration resulted in a draft but also brought to light a number of unresolved issues. The adoption of the new version of the statutes was therefore postponed until 2024.

**3. Raising Awareness and Prevention*****a. Training Programs***

Training in the area of research integrity is one of the central pillars of the OeAWI's awareness-raising and prevention work. To support member institutions in this area, the OeAWI has a train-the-trainer program and an e-learning platform.

**Train-the-Trainer Program (TtT):**

The original TtT course of the OeAWI was developed in 2018 as a two-day face-to-face workshop with the following aims: Reaching people responsible for protecting and promoting research integrity and teaching them didactic skills and methods for the promotion of Good Scientific Practice (GSP) at their specific institutions.

In 2023 an evaluation of the program was initiated. Based on internal evaluation, evaluation of long-term results and feedback from participants of previous courses, the following priorities were identified for a revision of the course: In order to promote the institutional implementation of the key issues discussed in the course, more emphasis needs to be placed on the ins-and-outs of institutional implementation. In addition to the existing content and activities regarding fundamental and current topics pertaining to research integrity and teaching methodology, the course should also give participants time to work on specific and individual projects, creating a holistic and integrated process from teaching and learning to implementation. Furthermore, in order to create an active network among participants in the long term, the course ought to emphasize collaborative opportunities throughout. It should also provide additional opportunities for exchange in the future.

The introduction of the revised version of the TtT course was planned for 2024.

**eLearning-platform:**

As a response to the COVID-19 pandemic and resulting restrictions on public meetings, the eLearning platform was created. To make the research integrity offerings more sustainable, the previous workshops on GSP were transformed into eLearning courses. This resulted in five courses in English on the topics: *Good Scientific Practice, Research Misconduct, Authorship & Publication, Plagiarism, and Data Management*.

In addition to the eLearning courses of the OeAWI that focus on the “Guidelines for Good Scientific Practice (GSP)”, another twelve eLearning courses which had been developed by the OeAWI and its project partners within the VIRT<sup>2</sup>UE project were made available on the platform.

In order to make the courses accessible to all persons affiliated with one or more member organisations, an eLearning environment was created on the website of OeAWI (<https://oeawi.at/login/>). People belonging to a member organisation can register free of charge at <https://oeawi.at/register/> with the e-mail address of the member organisation. The backend was completely redesigned in 2023 to ensure that access would function even more smoothly and to increase the speed of navigation on the website in general.

In 2023, a total of 147 researchers and PhD students registered for the eLearning section of the OeAWI. This represents an increase of 19.5% compared to the previous year.

## ***b. Consultation***

In 2023, the number of requests for consultation remained high (see Image 1).

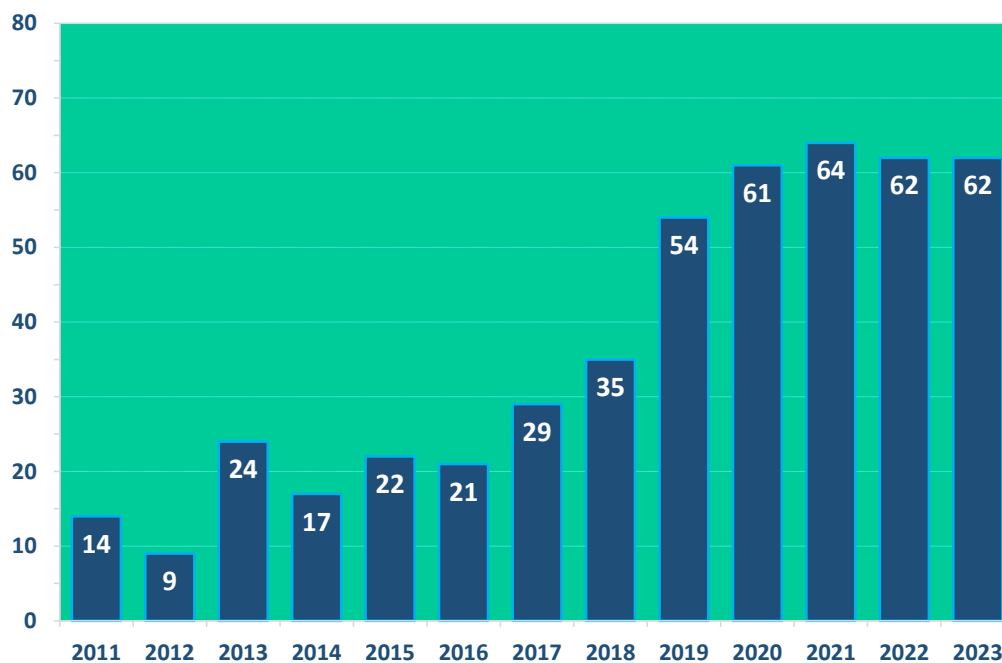
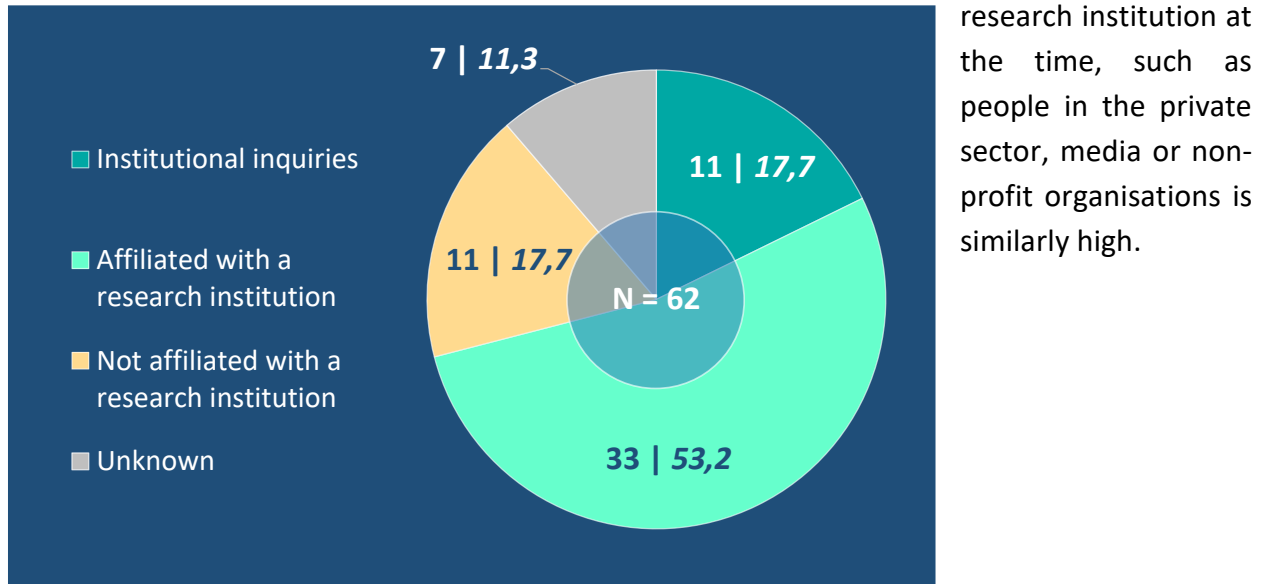


Image 1. Number of new inquiries to the OeAWI office (per year, absolute), 2011-2023

The majority of these inquiries came from people associated with research institutions (see Image 2), i.e. in particular researchers, teachers or students. The number of institutional inquiries—and from people not working at a



research institution at the time, such as people in the private sector, media or non-profit organisations is similarly high.

Image 2. Inquirers by institutional affiliation (absolute | *in percent*)

More than half of the inquiries concerned research misconduct (see Image 3). The category “other misconduct in the research work environment” includes inquiries the content of which does not fall within the realm of responsibility of the OeAWI according to its statutes. As inquiries are often complex, these inquiries may nevertheless require intensive examination and usually a referral to the appropriate authorities.

The OeAWI is clearly responsible for inquiries relating to Good Scientific Practice (GSP), which may, for example, involve requests for support from member institutions in setting up or expanding their own GSP structures.

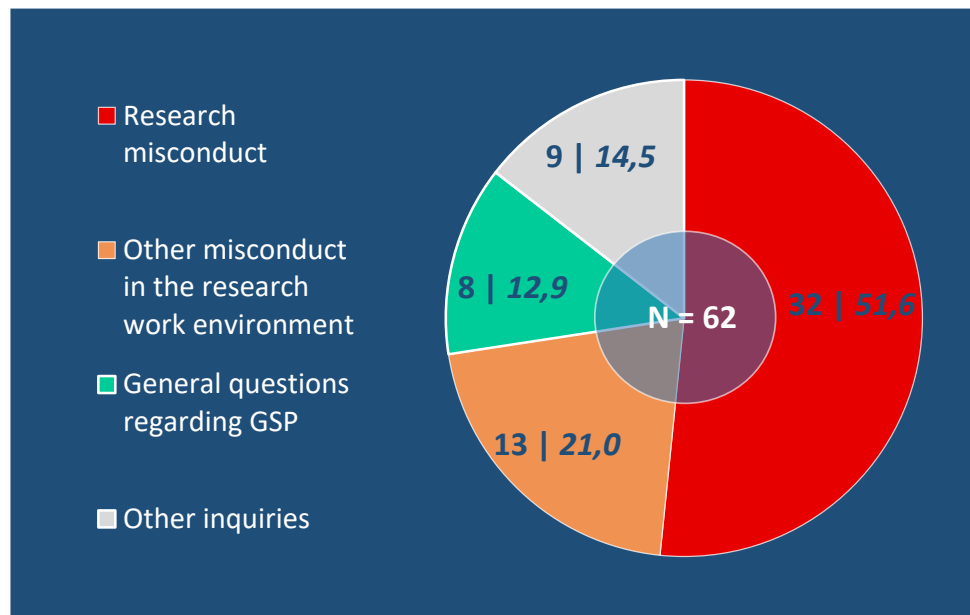


Image 3. Consultations, information, complaints: Inquiries to the OeAWI Office by category (absolute | *in percent*)

Among the inquiries dealing with research misconduct (see Image 4), the three most common topics are authorship conflicts, obstruction of research activities or attempted reputational damage, and plagiarism. The category “other research misconduct” includes inquiries on a number of less common topics such as incorrect information in funding applications, inadequate supervision of junior scientists or involvement in the research misconduct of others.

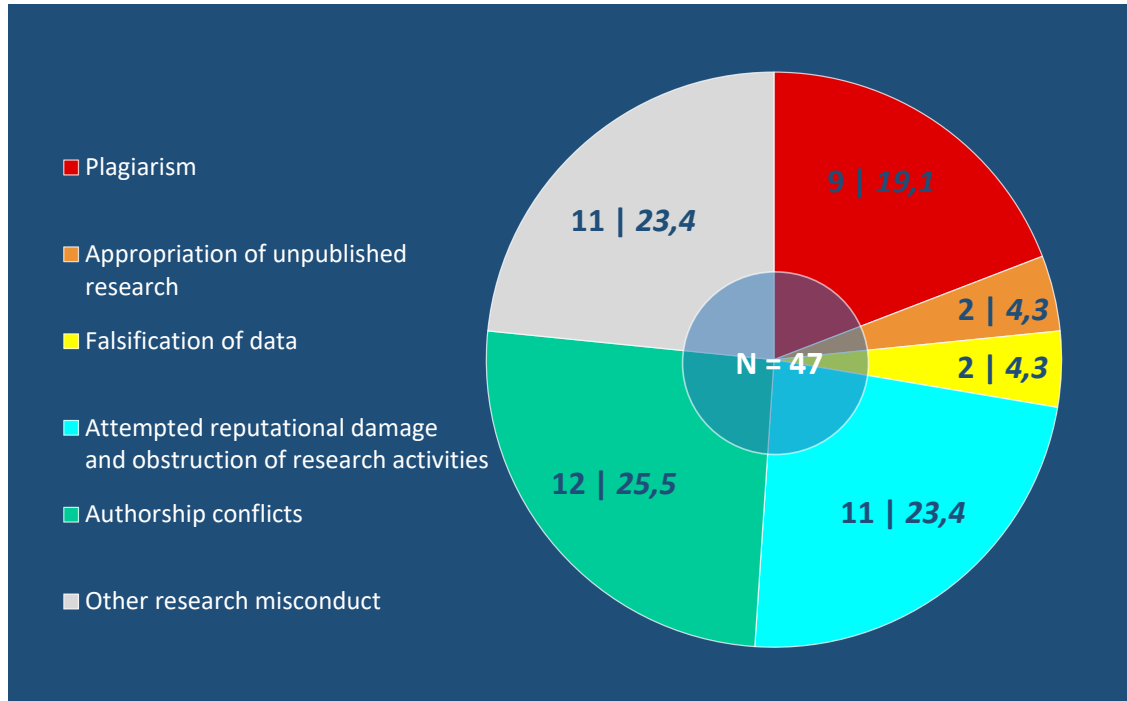


Image 4. Reports/requests for consultation on research misconduct to the OeAWI Office by category (absolute | *in percent*).

### ***c. Events, Workshops, Presentations***

The OeAWI organises events and, if personnel resources allow, provides speakers for events organised by other institutions.

#### **Events of the OeAWI**

In line with the goals developed in 2022, the OeAWI's offerings in this area were significantly expanded in 2023. In the first half of the year, the OeAWI Annual Conference was introduced and henceforth, will be held annually. The online series *Research Integrity: Aktuell* was introduced in the second half of the year.

## First OeAWI Annual Conference

On May 9, 2023, the time had finally come: the OeAWI welcomed its members to the premises of the Austrian Science Fund (FWF) for its first annual conference.

After introductory statements by Federal Minister Martin Polaschek and uniko President Sabine



Seidler as well as welcoming remarks by OeAWI Board Chairman and FWF President Christof Gatttringer and OeAWI Managing Director Sabine Chai, the conference addressed current topics related to the conference title *Responsible Research in Austria*.

In their keynote speeches, Isidoros Karatzas, Chair of the Research Ethics and Integrity Sector of the European Commission, addressed the central importance of European cooperation; Krista Varantola, member of the Board of ALLEA, discussed the connection between self-regulation of research institutions and research integrity; and Nikolaus Forgó, member of the OeAWI Commission, offered a vivid picture of the possibilities and impossibilities of the work of the Commission for Research Integrity.





The afternoon offered break-out sessions on topics such as crisis communication, prevention and training, as well as the form of the OeAWI network itself. Around 80 participants engaged in lively discussions and made plans for the future of their association. The feedback was consistently positive and the anticipation of the next annual event high.

### **Research Integrity: Aktuell (RIA)**

The online series was developed to encourage information-sharing and mutual exchange on current topics within the association. The chosen format offers a brief input followed by a discussion. The kick-off event on the topic of artificial intelligence and research integrity with input from OeAWI Managing Director Sabine Chai took place on November 16, 2023. On December 14, the second edition of **RIA** took place—this time on *The ALLEA Code Refresh 2023* with input from Maura Hiney, lead author of the ALLEA Code's drafting group.

### **Presentations, Workshops, Seminars, Panel Discussions**

In the course of 2023, members of the OeAWI team gave presentations, held workshops and offered courses at conferences and at (member) institutions, in Austria and abroad, in person and online.

Date	Title, Format, OeAWI Representatives, Institution
2/21	<b>Developing a Research Integrity Promotion Plan</b> Workshop by Teodora Konach in Tbilisi/Georgia in the context of the EU project „Supporting inter-sectoral collaboration possibilities between research and industry”
5/25	<b>OeAWI’s Prevention Policies, Investigation Procedures, and Role in (Re)shaping Research Practices in Austria</b> Input by Teodora Konach at the event on “Integrity and Gender Equality” organised by the Research Centre of the Slovenian Academy of Sciences and Arts (ZRC) in Ljubljana.
9/8	<b>Developing the Strategic Policy Paper on Open Science as an (Open) Knowledge Creation – Lessons Learned from the ROSiE Project</b> Presentation by Teodora Konach at the ENRIO Congress 2023, Paris
9/8	<b>The ROSiE General Guidelines for Responsible Open Science</b> Workshop by Mathieu Rochambeau and Teodora Konach at the ENRIO Congress, Paris

9/28	<b>tawiab 2023: Impact of AI on Research Integrity</b> Presentation by Sabine Chai
10/19	<b>Data Steward Certificate Course at Vienna University: Responsible Research Practices –Ethics and Integrity</b> , Sabine Chai together with Marjo Rauhala (Technical University Vienna)
11/21	<b>CZEDUCON: The Austrian Agency for Research Integrity: Structure, Functions, and Processes</b> Introduction to OeAWI by Sabine Chai

#### ***d. National Networks, Co-operations and Events***



Netzwerk der österreichischen  
Hochschulombudsstellen

##### **Netzwerk der österreichischen Hochschulombudsstellen**

The informal network serves to strengthen the co-operation between all existing ombuds offices and similar entities. Within its framework, every year the Austrian Student Ombuds Office at the BMBWF and the OeAWI jointly produce an updated edition of the brochure “Ombuds Offices and Similar Entities in the Austrian Higher Education and Research Landscape”. The fifth edition of the brochure was produced in autumn 2022 and published in January 2023. It was also decided in 2023 to shut down the network's own website, <https://www.hochschulombudsnetz.at>. However, the brochure will continue to be published and made available for download on both the Ombuds Office’s and the OeAWI's websites. The interactive map of ombuds offices and similar organisations (see <https://hochschulombudsstelle.at/netzwerk/> ) will also continue to be maintained on the Ombuds Office’s website.

#### **fteval Working Group on Artificial Intelligence in Evaluation**

The working group began its work in July 2023 to investigate questions such as “How will evaluation practice change with the newly available AI-based tools?”, “Within what kind of framework does change make sense and what concerns might there be?” and “What can an ethical and methodologically accurate approach look like?”. Since evaluation is a key issue for research integrity, Sabine Chai and Jan Haschka represent the OeAWI in this working group. The members have set themselves three goals: (1) to test AI methods and consider possible applications and implications, (2) to create a relational model that describes how AI changes the relationships among actors in the relevant context of evaluation, and (3) to develop a *Good Practice Guideline* for the fteval community.

### **Working Group on Combating and Preventing Plagiarism**

The working group was founded in 2011; it has members from more than 20 Austrian universities and research institutions and is dedicated to all issues related to combating and preventing plagiarism.

In 2023 the working group did not meet; its members, however, continued their exchange in the context of other events and are planning to actively resume their work in the group soon.

### ***e. International Networks, Co-operations and Events***



#### **ENRIO - European Network of Research Integrity Offices**

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The European Network of Research Integrity Offices (ENRIO) is an informal network founded in 2008 with the aim of promoting the exchange of national and other institutions dealing with research integrity. In 2020, ENRIO became a legally established association, making it possible to develop an effective long-term strategy for the network and for the promotion of research integrity in Europe. The European Commission would like all national RI organisations to become part of ENRIO. The OeAWI benefits greatly from this network and is a founding member of the newly established organisation (a non-profit association based in Brussels).

The network met twice in 2023, in spring in Brussels, in autumn in Paris. Eva Korus represented the Agency at both meetings. The autumn meeting coincided with the first ENRIO Congress, where the OeAWI was represented by Teodora Konach and Mathieu Rochambeau. (See information on presentations and other activities in section *c, Events, Workshops, Presentations.*)

For more information, see: <http://www.enrio.eu/> and in the ENRIO Annual Report 2023: <https://www.enrio.eu/wp-content/uploads/2024/03/ENRIO-ANNUAL-REPORT-2023-draft.pdf>



#### **ETINED – Council of Europe Platform on Ethics, Transparency and Integrity in Education**

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ETINED is a network of specialists who have been appointed by the Council of Europe and the signatory states of the European Cultural Convention. The idea is that high educational quality can be achieved and corruption effectively addressed only when society is committed to fundamental ethical principles. Sabine Chai was nominated by the Ministry of Science as Austria's representative on the platform.

The annual meeting of the platform took place from November 14-15 in Paris. The central topic this time was the challenges for higher education through AI. Furthermore, research projects

regarding combating fraud in research and education were presented and reports about developments in the member countries were shared.

As part of the implementation of the *Recommendation on Countering Education Fraud* put forth by the Committee of Ministers of the Council of Europe, an observation centre for combating fraud in education is to be established. A concept for this is to be developed by the next meeting of the platform.

For more information, see:

<https://www.coe.int/en/web/ethics-transparency-integrity-in-education>

### **Research Integrity Promotion Plans for Georgia**

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As part of the EU-funded Twinning project *Supporting Inter-Sectoral Collaboration Possibilities between Research and Industry*, the OeAWI was invited to contribute a workshop entitled *Developing a Research Integrity Promotion Plan* to an event in Tbilisi, Georgia on February 21<sup>st</sup>. The event took place at the National Scientific Library of Georgia and brought together key stakeholders from Georgia's research community to strengthen the foundations of research integrity within the national science system. Apart from the OeAWI (represented by Teodora Konach), Austria was represented by the Austrian Science Fund (Reinhard Belocky) and Joanneum Research (Wolfgang Polt). Participants from Georgia included representatives from the Ministry of Education and Science of Georgia (MoES), the Shota Rustaveli National Science Foundation of Georgia (SRNSFG), universities, and research institutions. This collaborative effort highlighted the critical role of research integrity in fostering trust and accountability within the scientific community, laying the groundwork for a more robust and ethical research environment in Georgia.

### **Integrity and Gender Equality in Slovenia**

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The Research Centre of the Slovenian Academy of Sciences and Arts (ZRC SAZU) organised this event focusing on integrity and gender equality in research with the goal to present good practices to the Slovenian academic community. OeAWI was invited and represented by Teodora Konach who delivered a presentation on the OeAWI's prevention policies, investigation procedures, and role in (re)shaping research practices in Austria. The presentation was followed by a Q&A session with Slovenian stakeholders. The event also featured contributions from FWF, the Austrian Academy of Sciences (OeAW), and the University of Graz, generating a comparative discussion on research integrity and gender equality practices in Austria and Slovenia.

### **DACH-Network for Co-operation in the Handling of Cross-Border Cases of Alleged Research Misconduct**

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Among the cases investigated by the OeAWI Commission, there are regularly instances of suspected research misconduct in which one or the other of the parties involved is not located in Austria or is not affiliated with an Austrian institution. Due to the differences in law and relevant regulations, such cases are often particularly complex. To alleviate this situation—at

least for the DACH region—a network was established in 2023. When putting together the group, attention was paid to ensuring that comparable institutions (e.g., national organisations, ministries, funding bodies, research institutions) from Austria, Germany and Switzerland were represented in similar numbers. In addition, a working group led by Sabine Chai was tasked in 2023 with a detailed description of topically relevant problems. A first face-to-face meeting was planned for early 2024.

## 4. Work of the Commission

The Commission for Research Integrity received nine new inquiries in 2023. The OeAWI Office was responsible for the organisational and content-related preparation of the meetings; it supported the Commission members with regard to research and plagiarism software checks as well as in their communication with those affected, whistleblowers, expert reviewers and the institutions involved (usually universities, universities of applied sciences, non-university research institutions, publishers of scientific journals) and advised the Commission members.

These new inquiries to the Commission concerned alleged violations of the GSP, among them: Plagiarism in theses, conflicts over authorship, data ownership, data manipulation, plagiarism in publications, non-transparent checks of suspected plagiarism at institutions of higher education, honorary authorship and other kinds of authorship misconduct as well as false statements by a scientist in his CV. In addition, the Commission responded to requests for recommendations of reviewers.

### **New Member of the Commission:**

**Hartmut Löwen** (see image), theoretical physicist and C4-professor at Heinrich-Heine University Düsseldorf, joined the commission as representative of the field of natural and technical sciences in July 2023. The OeAWI welcomes Prof. Löwen and looks forward to working together.



### **BKMS® Whistleblower Platform:**

Following the implementation of the BKMS® Compliance System (a high-security communication system, on the OeAWI website) in December 2020, a total of 114 reports reached the OeAWI up to the end of 2023. 110 inquiries were submitted by members of the OeAWI and external persons from Austria and abroad, including statements of facts and confidential documents. Of four additional reports, two were external system tests (one of which was anonymous), one contained a request for support outside the OeAWI mandate and another an offer to purchase anti-plagiarism software. Information and advice on research integrity accounted for 48% of inquiries, 37% were reports to the Commission with requests for investigation of alleged research misconduct, and in 11% of queries no responsibility lay with the OeAWI or its Commission.

The platform offers the option of complete anonymity. This was chosen by 32% of users. Far more, namely 83% of all enquirers used the optional “mailbox” for ongoing correspondence with the OeAWI while maintaining the highest level of confidentiality on both sides (see image 5).

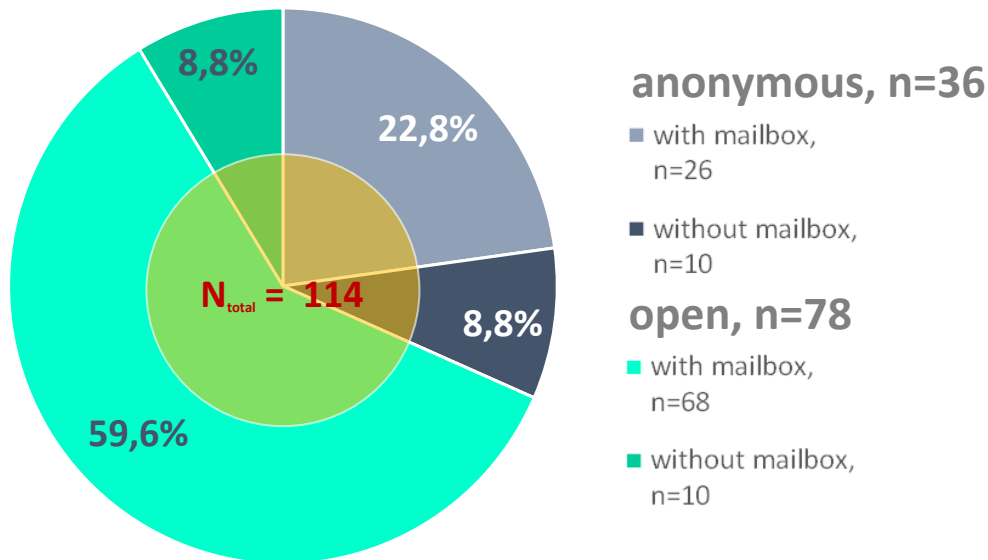


Image 5: Queries with or without the creation of a postbox (Pbox), submitted anonymously or openly, via the BKMS® Compliance System between December 2020 and December 2023.

In terms of the distribution of inquiries at the content level, most reports were for “Plagiarism” (32), followed by “Other Improper Behavior in Research” (29) and “Authorship and Ghostwriting” (20), see Image 6.

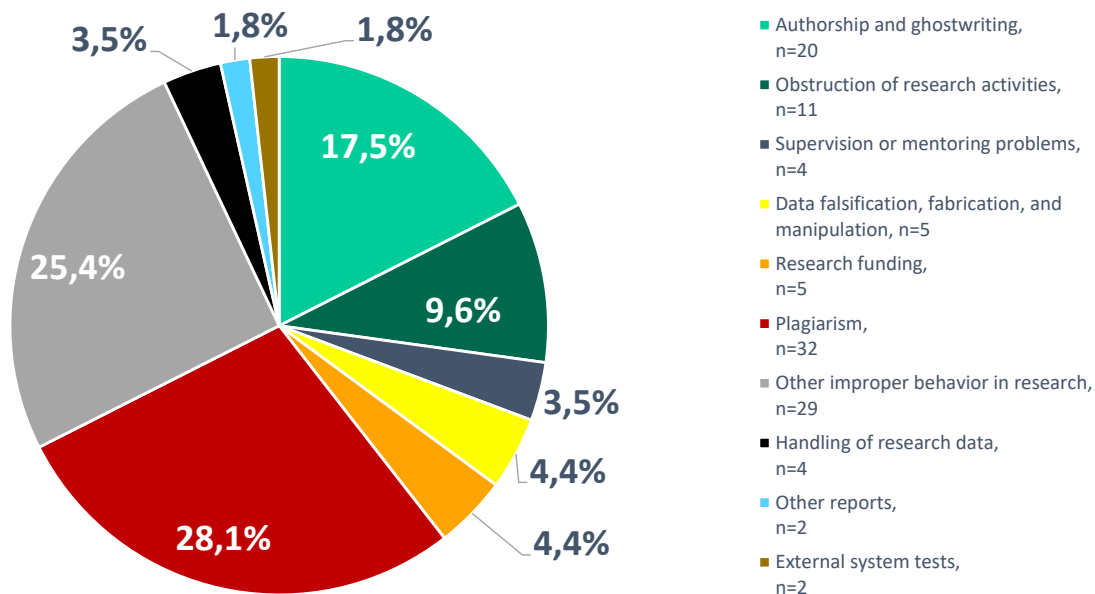


Image 6: Queries (according to topics) submitted via the BKMS® Compliance System between December 2020 and December 2023.

After three years of using the BKMS® Compliance System, we can summarize the experiences of the OeAWI as follows: The need to actively create a mailbox and always log in again for follow-up communication unfortunately leads to the loss of some whistleblowers who either forget to set up the mailbox when reporting for the first time or fail to check for replies afterwards. To prevent this, the instructions for accurate submission should be formulated even more clearly on the website. Some whistleblowers additionally still contact the OeAWI via email or telephone, which can make it difficult to track multiple lines of communication. The OeAWI Office therefore asks all whistleblowers who report via other channels to submit their request via the BKMS® Compliance System.

## 5. EU Projects



### ***SOPs4RI (January 2019 – December 2022): Policy Impact***

Although already closed, the SOPs4RI project achieved a significant milestone in regard to policy impact this year with its guidelines being featured in the Horizon Europe Programme Guide. The inclusion in the [Horizon Europe Programme Guide](#) on page 27 highlights the project's relevance and contribution to fostering research integrity across Europe. This recognition by the European Commission affirms the value of SOPs4RI's work in shaping policies for responsible research practices.

# ROSiE

## ROSiE (March 2021 – February 2024)

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The project „Responsible Open Science in Europe“ ([ROSiE](#)) explores and develops standards and good practices of research ethics and integrity within the Open Science and Citizen Science frameworks.

The OeAWI is leading the fifth Work Package (WP5) aimed at facilitating the use of responsible and open research.

In 2023, work continued on the deliverables identified in 2022. *D5.2 Strategic Policy Paper on responsible Open Science* was completed. The *ROSiE General Guidelines on Responsible Open Science*—drafted also to be used as supplement to the *European Code of Conduct for Research Integrity*—were also finalized.

The guidelines offer practical guidance for incorporating open science into daily research practices rooted in established research ethics and integrity principles and guidelines. While primarily designed for research activities, the guidelines also provide insights valuable for processes driving innovation.

The last deliverable in the OeAWI Work Package, the *ROSiE Discipline-related Guidelines*, was developed as a crucial extension of the project's earlier work, building on insights of the *Strategic Policy Paper* (D5.2), the *General Guidelines on Responsible Open Science* (D5.3), and the *Report on Existing Policies and Guidelines* (D5.1), all finalised in June 2023. These guidelines aim to provide researchers with discipline-specific guidance for practicing open science responsibly while adhering to ethics and integrity principles.

The *General Guidelines on Responsible Open Science* serve as the structural foundation for these documents. To ensure methodological consistency and relevance, the guidelines are tailored to four main disciplinary categories: health and life sciences, humanities, natural sciences, and social sciences.

The publication of the *ROSiE Discipline-related Guidelines* was an important milestone in helping researchers to navigate the complexities of Open Science responsibly, fostering ethical practices across diverse fields of study.

Project duration: March 2021 to February 2024.

For more information on this project, see <https://rosie-project.eu/>.

## 6. Contributions to Developing Policy Documents and Publications

### **European Code of Conduct for Research Integrity Refresh**

In 2023, ALLEA (All European Academies) published a revised edition of *The European Code of Conduct for Research Integrity*, first published in 2017. As a member of two stakeholder networks (the EU project SOPs4RI and ENRIO), the OeAWI had the opportunity to participate in this revision.

The revised Code of Conduct highlights the importance of the research environment for creating conditions conducive to research integrity and also reflects greater sensitivity to issues of inclusion in research. The code also reflects the EU's new *General Data Protection Regulation* (GDPR), changes in the management of data and developments in areas such as open science, research evaluation and artificial intelligence.

The new Code of Conduct is available in the download section of the OeAWI website.

### **ENRIO Handbook on Whistleblower Protection in Research**

The Working Group on Whistleblower Protection in Research has been working intensively on guidelines for the protection of whistleblowers since 2022. Helga Nolte (University of Hamburg) and Hjärdís Czesnick (German Research Ombudsman) chaired the group, which includes a total of ten members from eight countries. OeAWI was represented by Eva Korus. The handbook was published in August 2023. Among other things, it describes best practice procedures for whistleblower protection, instructions for creating a whistleblower management system and ways to support whistleblowers after the investigation has been closed.

The handbook is available in the download section of the OeAWI website.

## 7. The Austrian Agency for Research Integrity (OeAWI)

The Austrian Agency for Research Integrity (OeAWI) was established as an association in late 2008 by its twelve founding members. The association has now grown to more than 60 member institutions, including all public universities in Austria, as well as private universities, universities of applied sciences, various non-university research institutions and research funding agencies. The Agency is funded by its membership dues.

For more information on purpose, activities, structure and organisation of the association, see its statutes: <https://oeawi.at/wp-content/uploads/2019/12/FINAL-Statuten-OeAWI-Stand-Nov-2019-1.pdf>

For more information on current activities of the association, see <https://www.oeawi.at>

**Office:** Austrian Agency for Research Integrity

**Sabine Chai, PhD**

*Managing Director, Consultation and Training*

**MMag. Teodora Konach**

*EU-Projects SOPs4RI, VIRT2UE and ROSiE, Training*

**Samuel Muehllechner, BA**

*Until mid-May, System Administrator, IT-Management, Consultation*

**Melanie Lettl**

*Assistant to the Management, Administration of EU-Projects*

**Dipl.-Geogr. Eva Korus**

*Coordinator of Commission Affairs, Consultation*

**Mag. Mathieu Rochambeau**

*EU-Projects SOPs4RI and ROSiE*

**Jan Haschka**

*Since June, System Administrator & Technical Assistant*

**Address:** Landstraßer Hauptstraße 9/21, 1030 Wien

T: +43/1/7106821

E: [office@oeawi.at](mailto:office@oeawi.at)

<http://www.oeawi.at/>